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PORM P (REV 11	·TO-139	00 (Modified)  U.S. DEPART? F COMMERCE PATENT AND TRADEMARK OFFICE	ATTOWN'S DOCKET NUMBER			
(KL·		RANSMITTAL LETT! TO THE UNITED STATES	40			
		DESIGNATED/ELECTED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR			
		CONCERNING A FILING UNDER 35 U.S.C. 371	To Be Assign 69/720979			
INTE	RNAT	IONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED			
	]	PCT/JP99/03552 01-July-1999	03-July-1998			
Nega	TITLE OF INVENTION Negative-Sense RNA Virus Vector for Nerve Cell					
	APPLICANT(S) FOR DO/EO/US  FUKUMURA, et al					
Appli	cant h	herewith submits to the United States Designated/Elected Office (DO/EO/US) the	he following items and other information:			
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371				
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing				
3.		examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).				
4.		A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.				
5.	$\boxtimes$	1,7				
ı		a.  is transmitted herewith (required only if not transmitted by the Inter-	national Bureau).			
ı		b. As been transmitted by the International Bureau.				
6.	×	c. is not required, as the application was filed in the United States Rece	, ,			
6. 7.	× ×	A translation of the International Application into English (35 U.S.C. 371(c)(2 A copy of the International Search Report (PCT/ISA/210).	2)).			
7. 8.		Amendments to the claims of the International Application under PCT Article	10 (25 11 9 (2 271 (5)(2))			
<b>P</b> . 3.						
ria.		<ul> <li>a.          are transmitted herewith (required only if not transmitted by the International Bureau).     </li> <li>b.          have been transmitted by the International Bureau.     </li> </ul>				
		c. have not been made; however, the time limit for making such amend	Iments has NOT avaired			
		d. \( \subseteq \) have not been made and will not be made.	ments has 1401 expired.			
9.						
10.						
11.	$\boxtimes$					
12.		A copy of the International Preliminary Examination Report (PC1/IPEA/409).  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).				
Ite	ems 1	3 to 20 below concern document(s) or information included:	1			
13.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	}			
14.		An assignment document for recording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.			
15.		A FIRST preliminary amendment.	!			
16.		A SECOND or SUBSEQUENT preliminary amendment.	!			
17.		A substitute specification.				
18.		A change of power of attorney and/or address letter.	• .			
19.		Certificate of Mailing by Express Mail				
20.	$\boxtimes$	Other items or information:				
		<ol> <li>Copy of the International Publication;</li> <li>Copy of the PCT Request Form;</li> <li>Copy of PCT/IPEA/416;</li> <li>Copy of PCT/IPEA/409;</li> <li>Copy of PCT/IPEA/408;</li> <li>Copies of all papers received from WIPO (including PCT/IB/332, PCT PCT/IB/345).</li> <li>Copy of Demand for International Preliminary Examination; and</li> <li>Copy of Written Opinion.</li> </ol>	7/IB/308, PCT/IB/301, PCT/IB/304, and			

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528 Rec'd PCT/PTO U.S. APPLICATION NO. (IF KNOWN INTERNATIONAL APPLICATION NO. PCT/JP99/03552 4001-0003 21. The following fees are submitted:. CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO . . . \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . . . . . . \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)....... \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$840.00 Surcharge of \$130.00 for furnishing the oath or declaration later than □ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). \$0.00 **CLAIMS** NUMBER FILED NUMBER EXTRA RATE \$18.00 Total claims - 20 = \$0.00 0 Independent claims 3 - 3 = \$78.00 \$0.00 \$0.00 Multiple Dependent Claims (check if applicable). TOTAL OF ABOVE CALCULATIONS \$840.00 Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).  $\boxtimes$ \$420.00 SUBTOTAL \$420.00 Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00 TOTAL NATIONAL FEE \$420.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). \$0.00 TOTAL FEES ENCLOSED \$420.00 Amount to be: refunded \$ charged  $\boxtimes$ A check in the amount of \$420.00 to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0622 A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SHANKS & HERBERT TransPotomac Plaza 1033 North Fairfax Street, Suite 306 Alexandria, VA 22314 (703) 683-3600 (703) 683-9875 (facsimile)

SIGNATURE SIGNATURE	_
Toni-Junell Herbert	
NAME	_
34,348	
REGISTRATION NUMBER	_

1/3/0/ DATE